

#9



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/841,689	04/23/2001	Stephen Sorkin	RECOP008

21912
RITTER VAN PEL
4906 EL CAMINO REAL
SUITE 205
LOS ALTOS, CA 94022

CONFIRMATION NO. 4377

FORMALITIES LETTER



OC00000006227044

Date Mailed: 06/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$528.
 - \$288 for 16 total claims over 20.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1368.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/26/2001 NYUSUP 0000 17 15 11 34

01 FD:101

02 FC:100

03 FR:100

11 11 11

11 11 11

A copy of this notice MUST be returned with the reply.

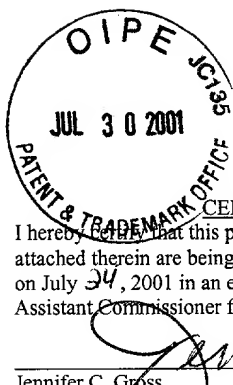


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FOUO 68974850



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sector 8
#4

Attorney Docket No.: RECOP008

First Named Inventor: SORKIN et al.

Application No. 09/841,689

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

Sir: This is a Response to Notice to File Missing Parts in a patent application in the name of inventors:
Stephen Sorkin, Michael Lyle, Robert F. Ross, and James R. Maricondo

For: SYSTEM AND METHOD FOR ANALYZING LOGFILES

Application Elements:

☐

Declaration

☒

Newly executed (original or copy)

☐

Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐

Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

☐

Information Disclosure Statement with Form PTO-1449

☐

Copies of IDS Citations

☐

Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)

☒

Return Receipt Postcard

☐

Verified Statement Claiming Small Entity Status filed in prior application. Status still proper and desired.

☒

Other: Thirty-six (36) substitute drawings in compliance with 37 CFR 1.84.



Amendments

- ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	SMALL ENTITY	OR	LARGE ENTITY
	NO. FILED	NO. EXTRA	RATE		RATE
BASIC FEE			\$355	\$	\$710
TOTAL CLAIMS	36	-20 = 16	x9	= \$	x18 = \$288.00
INDEP CLAIMS	6	-03 = 3	x40	= \$	x80 = \$240.00
[] Multiple Dependent Claim Presented			\$130	= \$	\$260 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	Total \$

- ☐ Applicants petition for a two (2) month extension of time to respond under 37 CFR § 1.136(a).

- ☒ Check No. 0293 in the amount of \$1,368.00 is enclosed.

General Authorizations


- ☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR § 1.17 as may be needed to Deposit Account No. 50-0685 (Order No. RECOP008).

- ☒ The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. RECOP008).

- ☒ Please send correspondence to the following address:

Customer No. 21912
VAN PELT & YI LLP
4906 El Camino Real, Suite 205
Los Altos, CA 94022

Date: 7-24-01



Lee Van Pelt
Reg. No. 38,352